



# CISA证书申请指导



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Individuals taking and passing the CISA exam December 2015 and prior:

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在线填写点击此处(推荐) Printable application – Exam passers June 2016 and later (Best viewed in Internet Explorer)



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Request an application (sent in postal mail)





Application for CISA Certification



Applicant Information	Application Form
First Name Middle Name/Initial	Last/Family Exam ID
Malden Name or Former Name:	
Preferred Mailing Address: O Home O Business	
Street Address Line 2 日古人名	は人々日則海手に言思
Applicant Home Telephone	Applicant Email Address
Present Employer Information	
Job Title	Business Name
Business Street Address	State / Province
Street Address Line 2	PostaVZip Code
City	Country
Business Telephone	Business Fax
Business Email Address	
Immediate Commission Manual	Provide The
miniedate Supervisor Name.	
work Experience Detail	
A. Information Systems Audit, Control or Security Experience—List your most recent	t experience first. A candidate must have a minimum of two years of IS audit, control or security experience. Two years of experience is reace. Substitution below)
	Dates of employment
Employer Name	in its Audit, Control of Security Duration of experience MMYYY to MMYYY Years Months
Employer Name	to to
Total number of years IS auditing, control or security experience (round down to whole	vear) Total:
B. Experience Substitution-A maximum of 1 year IS auditing, control or security expe	rience may be substituted with either one full year of auditing experience — or one full year of information systems experience.
Company/	Dates of Employment Type of Number of Years
University Name MM/TT	to Mon-IS Audit
	to Information Systems
	to Liniversity Instructor*
*There is no maximum limitation for university instructor experience. However, two ful	I years of university instructor experience in a related field is required for each one year of IS auditing, control or security experience
substitution.	
C. Educational Experience Waiver—If you are applying for any experience waivers, pl	ease check the appropriate box. To confirm your degree status, please include with your application an original or copy of a transcript or
netter from your conege of university. Thease provide your name as insted on the transc	npc.
Name on transcript:	
I wo years experience waiver for a four-year university degree, Masters Degree,	
One year experience waiver for a two-year university degree	
Equivalent educational experience to the above, listed here and official verification	n provided. (list)
One year experience waiver for a university degree that enforces the ISACA spor	isored Model Curricula. (Cannot be used if three years substitution or waiver have been claimed.)
D. Summary of Experience Requirements	
1. Total number of years of information systems audit, control or security experience	- enter the total from Section A above (minimum of 2 years)
2. If applying for an experience substitution, enter number of years being substituted	in the box and complete Section B above (maximum of 1 year).
3. If applying for an experience waiver, enter 1, 2 or 3 in the box as appropriate and c	omplete Section C above
Total Work Experience-add boxes 1, 2 and 3(hoxes 2 and 3 cannot exceed 3 ve	ars) (must total five years or more to apply for CISA certification)
E. Individuals Verifying Work Experience Details—Please record here the names an	d contact information of the individual(s) that will verify your work experience in sections A and B above
Verifier's Name	Job Title Company Name
Company Telephone Number	Company Email Address
2.	Company name
Company Telephone Number	Company Email Address
Verifier's Name	lob Title Company, Name

Company Telephone Number Company Email Address	
Vernication of Work Experience	
I,, am applying for cer	ification through ISACA as a Certified Information Systems Auditor. My work experience must be independently
verified by my current and/or previous employer(s). The individual verifying the work experience must be an ind once worked as an independent consultant. I can use a knowledgeable client or an individual certified as a CIS	spendent verifier and not of any relation to the applicant nor can the verifier verify his/her own work. If I currently or SA or CISM to perform this role.
I would appreciate your cooperation in completing this form, by verifying my IS auditing, control or security work	experience as noted on my application form attached and as described by CISA job practice area and task parting this form please direct them to CISA application @isaca org +1.847, 560, 5660, Themk you
statements. Trease return the complete form to me for my submission to force. If you have any questions com	centing this form, prease direct them to <u>chorapplication grades or </u> 0.11.041.000.0000. Thank you.
Applicant's Signature	Date
Employer's Verif	ication Information
Verifier's Name	Company Name
lob Trile	
Street Address Ret to the strength and t	State / Province
Street Address Line 2	Postal/Zip Code
City	Country
Company Telephone Number	Company Email Address
Name of company relating to candidate's employment from page 2:1	
The following statements are to be confirmed by the Verifier after printing the application)	
1. Have you functioned in a supervisory position to the applicant such that you can verify his/her work experimentation of the supervisory position to the applicant such that you can verify his/her work experimentation of the supervisory position of the supervisory position to the applicant such that you can verify his/her work experimentation of the supervisory position to the supervisory position to the applicant such that you can verify his/her work experimentation of the supervisory position to th	vrience?
<ol> <li>How long have you known the applicant?</li> <li>Is the categorization and duration of the applicant's work experience, for your organization, as listed on</li> </ol>	the application for contification form, correct to the best of your knowledge?
<ol> <li>A for you qualified and willing to verify the applicant's work experience prior to his/her affiliation with your</li> </ol>	r company/organization?
5. Are you qualified and willing to verify the applicant's educational experience waiver(s) claimed?	
6. Is there any reason you believe this applicant should not be certified as an information systems auditor?	2
Description of CISA Job Practice Areas	
Please check the boxes that indicate the tasks performed by applicant. Upon review, please sign and date t	he bottom of this page
Domain 1: the Process of Auditing Information Systems – Provide audit sendres in accordance with IT audit	<ul> <li>Conduct reviews to determine whether a project is progressing in accordance with project plans, is</li> </ul>
standards to assist the organization with protecting and controlling information systems.	adequately supported by documentation and status reporting is accurate.
Domain 1 Task Statements	Evaluate controls for information systems during the requirements, acquisition, development and testing
<ul> <li>Develop and implement a risk-based IT audit strategy in compliance with IT audit standards to ensure that key areas are included.</li> </ul>	requirements.
Plan specific audits to determine whether information systems are protected, controlled and provide value	<ul> <li>Evaluate the readiness of information systems for implementation and migration into production to determine whether project deliverables, controls, and organization's requirements are met</li> </ul>
To the organization. In conduct audits in accordance with IT audit standards to achieve planned audit objectives.	Conduct post-implementation reviews of systems to determine whether project deliverables, controls, and
Conduct addits and accordance within addit standards to addite planed addit objectives.     Report audit findings and make recommendations to key stakeholders to communicate results and effect	organization's requirements are met.
change when necessary.	processes for information systems operations, maintenance and support — Frome assurance that the
Conduct follow-ups or prepare status reports to ensure appropriate actions have been taken by management in a timely manner.	and objectives.
Domain 2: Governance and Management of IT - Provide assurance that the necessary leadership and	Domain 4 Task statements     Conduct periodic reviews of information systems to determine whether they continue to meet the
organizational structures and processes are in place to achieve objectives and to support the organization's strategy.	organization's objectives.
Domain 2 Task Statements	A Systuction pervice level management practices to determine whether the level of service from internal and and the price providers is defined and managed.
Evaluate the effectiveness of the IT governance structure b er sub a figure bear active during the structure bear and the structure bear	a real shares and party management practices to determine whether the levels of controls expected by the
Evaluate IT organizational structure and human resources (personnel) management to determine whether	• • • • • • • • • • • • • • • • • • •
they support the organization's strategies and objectives.	Evaluate operations and end-date proceedies to determine whether scheduled and non-scheduled processes are managed to completion.
<ul> <li>Evaluate the IT strategy, including the IT direction, and the processes for the strategy's development, approval, implementation and maintenance for alignment with the organization's strategies and objectives.</li> </ul>	Evaluate the process of information systems maintenance to determine whether they are controlled
Evaluate the organization's IT policies, standards, and procedures, and the processes for their	Evaluate data administration practices to determine the integrity and optimization of databases.
development, approval, implementation, maintenance, and monitoring, to determine whether they support the IT strategy and comply with regulatory and legal regulirements.	Evaluate the use of capacity and performance monitoring tools and techniques to determine whether IT
Evaluate the adequacy of the quality management system to determine whether it supports the	<ul> <li>services meet the organization's objectives.</li> </ul>
<ul> <li>organization's strategies and objectives in a cost-effective manner.</li> <li>Evaluate II management and monitoring of controls (e.g. continuous monitoring OA) for compliance with</li> </ul>	Evaluate problem and incident management practices to determine whether incidents, problems of errors are recorded, analyzed and resolved in a timely manner.
the organization's policies, standards and procedures.	Evaluate change, configuration and release management practices to determine whether scheduled and
Evaluate IT resource investment, use and allocation practices, including prioritization criteria, for alignment	documented.
Revaluate IT contracting strategies and policies, and contract management practices to determine whether	Evaluate the adequacy of backup and restore provisions to determine the availability of information required
they support the organization's strategies and objectives.	Eventime processing.     Event the organization's disaster recovery plan to determine whether it enables the recovery of IT
Evaluate risk management practices to determine whether the organization's II-related risks are properly managed.	processing capabilities in the event of a disaster.
Evaluate monitoring and assurance practices to determine whether the board and executive management	Domain 5: Protection of Information Assets – Provide assurance that the organization's security policies, standards, procedures and controls ensure the confidentiality, integrity and availability of information assets.
receive sumident and timely information about in performance.     receive sumident and timely information about in performance.     receive sumident and timely information about in performance.	Domain 5 Task Statements
essential business operations during the period of an IT disruption.	Evaluate the information security policies, standards and procedures for completeness and alignment with opencally accented practices.
Domain 3: Information Systems Acquisition, Development and Implementation – Provide assurance that the practices for the acquisition, development, testing, and implementation of information systems meet the	□ Evaluate the design, implementation and monitoring of system and logical security controls to verify the
organization's strategies and objectives.	confidentiality, integrity and availability of information.
Domain 3 Task Statements Evaluate the business case for proposed investments in information evaluations acquisition devices and	Evaluate the design, implementation, and monitoring of the data classification processes and procedures of or alignment with the organization's policies, standards, procedures, and applicable external
maintenance and subsequent retirement to determine whether it meets business objectives.	requirements.
Evaluate the project management practices and controls to determine whether business requirements are believed in a cost-effective manner while managing risks to the organization.	Evaluate the design, implementation and monitoring of physical access and environmental controls to determine whether information assets are adequately safeguarded.
achieves in a cost-elective manner while managing fisks to the organization.	Evaluate the processes and procedures used to store, retrieve, transport and dispose of information
	<ul> <li>assets are adequately safeguarded.</li> </ul>
Insert Additional Verification of Work Experience	



# 1.申请人资料及目前雇主信息

Applicant Information 姓名	Applic	ation Form	准考证号
First Name Middle	Name/Initial	Last/Family	Exam ID
Preferred Mailing Address:  O Home O Business 地址: 家月	建或者公司 <b>首选</b>	邮寄地址	]
Street Address Line 2 City Applicant Home Telephone	家庭地址等	Postal/Zip Code Country Applicant Email Address	
Present Employer Information Job Title Business Street Address Street Address Line 2 City Business Telephone	<mark>现用人单位信息</mark>	Business Name State / Province Postal/Zip Code Country Business Fax	
Business Email Address Immediate Supervisor Name:		Supervisor Title	

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- 1. 五年的工作经验才有资格申请 CISA 认证。
- 2. 必须具备三年信息系统审计、控制或安全领域工作经验"打底";
- 3. 最多可以抵消两年经验;不够一年的经验不能做为抵消。
- 4. 非信息系统审计、控制或安全的工作经验只能抵消一年经验;
- 5. 本科及以上学历可以抵消**2年**经验,专科学历可以抵消**1年**经验,需要提交一份大学成绩单 或证书的原件或复印件;
- 6. 经验不够的可以积累工作经验后再申请证, 成绩有效期5年;

### 组合方式:

五年信息系统审计、控制或安全领域工作经验

- 三年信息系统审计、控制或安全领域工作经验+本科
- 四年信息系统审计、控制或安全领域工作经验+专科
- 四年信息系统审计、控制或安全领域工作经验+非信息系统审计、控制或安全的工作经验
- 三年信息系统审计、控制或安全领域工作经验+专科+非信息系统审计、控制或安全的工作经 验

### 特殊情况(大学老师):如果用专职大学教师经验来抵减相关领域(例如信息系统、会计、信息系统审计),两"整"年的经验以完成每一年的经验抵减。对于大学教师而言,没有对 经验抵减的年限限制。





### A.信息系统审计,控制或安全方面的经验

先列出你最近期的经验,<mark>候选人必须有至少两年的审计,控制或安全方面的经验两年经验</mark>被认为是4000实际工时,除全职教师(见下面B.经验抵减)。

1. A 部分 – 信息系统审计、控制或安全经验----对于每一位雇主(从现在的雇主开始),请输 入下

列内容:

- 雇主名称
- •从事 IS 审计、控制或安全工作的雇用日期范围(月份和年份)
- •为每一个雇主实施 IS 审计、控制或安全服务的时间以及总计时间(年数和月数)。

#### Work Experience Detail

A. Information Systems Audit, Control or Security Experience—List your most recent experience first. A candidate must have a minimum of two years of IS audit, control or security experience. Two years of experience is considered 4,000 actual hours, with the exception for full time instructors (see B. Experience Substitution below).

		in IS Au	dit, Control o	r Security	Duration of	experience
	Employer Name	MM/YY	to	MM/YY	Years	Months
Employer Name Beijing GooAnn Technology Co., l	信自亥纮宙计 均制武安全经验	07/06	to	02/12	5	5
Employer Name	伯芯尔沁中川、江刚筑父王江沙		to			
Employer Name			to			
				11 41 A 121		
Total number of years IS auditing, co	ontrol or security experience (round down to whole year)			Total:		



Dates of employment



### B.经验抵减

- —A maximum of 1 year IS auditing, control or security experience may be substituted with either **one full year** of auditing experience or **one full year** of information systems experience. 1年最大可取代任何**一个全年**审计工作经验-**一个**信息系统的经验**,全年的**审计,控制或安全方面的经验。
- B 部分 经验抵减— 如果要抵减其他审计经验(例如财务审计或营业审计)或其他类型的信息系统 工作经验(例如应用程序编程或操作),审计或信息系统工作经验的最大抵减为一"整"年的。 如果用专职大学教师经验来抵减相关领域(例如信息系统、会计、信息系统审计),您必须具有 两"整"年的经验以完成每一年的经验抵减。对于大学教师而言,没有对经验抵减的年限限制。 不够一年的经验不会得到加分。

总结:

- 1. 非信息系统审计、控制或安全的工作经验只能抵消一年,
- 2. 对于大学老师没有抵消限制

B. Experience Substitution—A maximum of 1 year IS auditing, control or security experience may be substituted with either one full year of auditing experience — or one full year of information systems experience.

Company/	0	ates of Employme	nt	Type of	Number of Years
University Name	MM/YY	to	MM/YY	Experience	of Substitution
		to		Non-IS Audit	
	原统	/大学	老师	Information Systems	
		to		University Instructor*	

\*There is no maximum limitation for university instructor experience. However, two full years of university instructor experience in a related field is required for each one year of IS auditing, control or security experience substitution.





### C.教育经验的豁免

- —If you are applying for any experience waivers, please check the appropriate box.如果您正 在寻找任何经验豁免申请,请检查相应的方块。 To confirm your degree status, please include with your application an original or copy of a transcript or letter from your college or university.为了确认您的学籍,请与您的申请书一起附上大专或大学成绩单或信原件 或复印件。 Please provide your name as listed on the transcript.,请提供您在成绩单上的 姓名。
- C 部分 教育经验豁免— 选中相应的方框来申请教育经验的豁免。为了确认您的学位水平,请在申 请表中附加一份大学成绩单或证书的原件或复印件。为了减少处理时间,请不要单独发送成绩单。 本科及以上学历可以抵消2年经验,专科学历可以抵消1年经验,需要提交一份大学成绩单或证书的 原件或复印件

C. Educational Experience Waiver—If you are applying for any experience waivers, please check the appropriate box. To confirm your degree status, please include with your application an original or copy of a transcript letter from your college or university. Please provide your name as listed on the transcript. 🛛 🚧 径 口谷 白	or
Two years experience waiver for a four-year university degree, Masters Degree, or PhD 为期四年的大学学位,硕士学位或博士学位 豁免二年经验	
One year experience waiver for a two-year university degree 为期二年的大学学位, <b>豁免1年经验</b>	
Equivalent educational experience to the above, listed here and official verification provided. (list) 上述同等教育的经验,在这里列出,并提供官方验证。	
One year experience waiver for a university degree that enforces the ISACA sponsored Model Curricula. (Cannot be used if three years substitution or waiver have been claimed.)	





### D.总结经验要求 Summary of Experience Requirements

D 部分 – 经验要求摘要— 记录上述 A-C 部分的总和。标题为"工作经验汇总"("Total WorkExperience")的一行应该是在信息系统审计、控制或安全职能部门的工作年限与任何经验 抵减或豁免的总和。最少要有五年的工作经验才有资格申请 CISA 认证。

您的五年经验要求中,经验抵减或教育豁免的时间不能超过三年,大学教师的经验抵减除外。

- 1. Total number of years of information systems audit, control or security experience enter the total from Section A above (minimum of 2 years)多年的信息系统审计,控制和安全方面的经验总数-从第一个开始进入全部(至少2年以上)
- 2. If applying for an experience substitution, enter number of years being substituted in the box and complete Section B above (maximum of 1 year).如果申请的经验替代,输入 框和完整的B节(最多1年)以上的被取代的年数。
- 3. If applying for an experience waiver, enter 1, 2 or 3 in the box as appropriate and complete Section C above如果申请教育经验豁免,在框中输入适当和完整的C节以上1,2或3

D. Summary of Experience Requirements



- 1. Total number of years of information systems audit, control or security experience enter the total from Section A above (minimum of 2 years)
- 2. If applying for an experience substitution, enter number of years being substituted in the box and complete Section B above (maximum of 1 year).
- 3. If applying for an experience waiver, enter 1, 2 or 3 in the box as appropriate and complete Section C above

Total Work Experience—add boxes 1, 2 and 3(boxes 2 and 3 cannot exceed 3 years) (must total five years or more to apply for CISA certification)

**Total Work Experience总的工作经验**—add boxes 1, 2 and 3(boxes 2 and 3 cannot exceed 3 years) 1, 2, 3之和(2, 3之和不能超过3年) (must total five years or more to apply for CISA certification) (最少要有五年的工作经验才有资格申请 CISA 认证。)





### E. 验证工作经验的联系人详情

—Please record here the names and contact information of the individual(s) that will verify your work experience in sections A and B above: - 请在这里记录的姓名和联系 人信息,将验证您的工作经验,在A和上文B部分:

E. I	ndividuals Verifying Work Experience Details—Please record here the	names and contact information of the individual(s) that will verify your	r work experience in sections A and B above:
	Verifier's Name	Job Title	Company Name
1.	Liu Ha	IS auditor	GooAnn
	Company Telephone Number 861051626974	Company Email Address liuha@gooann.com	
2	Verifier's Name	Job Title	Company Name
Ζ.			
	Company Telephone Number	TT化物心的 HY L H	
2	Verifier's Name	[上] [ 纪 ] [ 纪 ] [ 2] [ 2] [ 2] [ 2] [ 2] [	Company Name
э.			
	Company Telephone Number	Company Email Address	





### 核对工作经验

#### Verification of Work Experience

I, Yi Zheng\_\_\_\_\_\_, am applying for certification through ISACA as a Certified Information Systems Auditor. My work experience must be independently verified by my current and/or previous employer(s). The individual verifying the work experience must be an independent verifier and not of any relation to the applicant nor can the verifier verify his/her own work. If I currently or once worked as an independent consultant, I can use a knowledgeable client or an individual certified as a CISA or CISM to perform this role.

I would appreciate your cooperation in completing this form, by verifying my IS auditing, control or security work experience as noted on my application form attached and as described by CISA job practice area and task statements. Please return the complete form to me for my submission to ISACA. If you have any questions concerning this form, please direct them to CISAapplication@isaca.org or +1.847.660.5660. Thank you.

Applicant's Si	nature 申请人签名	Date	日期





# 3. 雇主的验证信息和验证

	Employer's Verification Information				
/erifier's Name	Company Name				
	Beijing GooAnn Technology Co., Ltd.				
ob Tite 主管的详细信息					
Street Address	State / Province				
A-806,Digital Tower,No.2,South Street	Beijing				
Street Address Line 2	Postal/Zip Code				
ZhongGuanCun,Haidian District,Beijing	100086				
ity	Country				
Beijing	China				
Company Telephone Number	Company Email Address				
861051626974	li**@gooann.com				





- Have you functioned in a supervisory position to the applicant such that you can verify his/her work experience?你是在一个主管职位,可以验证申请人(他/她)的工作经验? 【YES】 1.
- How long have you known the applicant?您认识申请人有多久? 【阿拉伯数字填写年限不要与工 2. 作经验冲突】
- Is the categorization and duration of the applicant 's work experience, for your 3. organization, as listed on the application for certification form, correct to the best of your knowledge?申请人在您组织的工作经验,申请表上填写的认证信息,是正确的真实的? 【YES】
- Are you qualified and willing to verify the applicant 's work experience prior to his/her affiliation with your company/organization?你是有资格的,愿意核实申请人的工作经验,之前他 4. /她与贵公司/组织的联系?【YES】
- Are you qualified and willing to verify the applicant 's educational experience waiver(s) claimed? 你是有资格的,并愿意以核实申请人的教育经验,减免声名? 【YES】或【N/A】 5.
- Is there any reason you believe this applicant should not be certified as an information systems auditor? 您没有任何理由认为该申请人不应作为一个信息系统审计师认证? 【NO】 6.

Please answer the six guestions below, and then sign and date where indicated on the printed form. (The following statements are to be confirmed by the Verifier after printing the application)

- 1. Have you functioned in a supervisory position to the applicant such that you can verify his/her work experience? 下面六个问题,然后打印后签名,并注明日期
- 2. How long have you known the applicant?

#### 3. Is the categorization and duration of the applicant's work experience, for your organization, as listed on the application for certification form, correct to the best of your knowledge?

- Are you gualified and willing to verify the applicant's work experience prior to his/her affiliation with your company/organization? 4.
- 5. Are you qualified and willing to verify the applicant's educational experience waiver(s) claimed?
- Is there any reason you believe this applicant should not be certified as an information systems auditor? 6.





# 4. CISA工作实践区的说明

<ul> <li>Domain 1 Task Statements</li> <li>Develop and implement a risk-based IT audit strategy in compliance with IT audit standards to ensure that key areas are included.</li> <li>Plan specific audits to determine whether information systems are protected, controlled and provide value to the organization.</li> <li>Conduct audits in accordance with IT audit standards to achieve planned audit objectives.</li> <li>Report audit findings and make recommendations to key stakeholders to communicate results and effect change when necessary.</li> <li>Conduct follow-ups or prepare status reports to ensure appropriate actions have been taken by management in a timely manner.</li> <li>Domain 2: Governance and Management of IT – Provide assurance that the necessary leadership and</li> </ul>	<ul> <li>域名1:审计信息系统的过程 按照IT审计标准,以协助保护和控制信息系统的组织提供审计服务。</li> <li>1域任务报表</li> <li>在符合IT审计标准制定和实施,以确保关键领域包括以风险为基础的IT审计策略。</li> <li>计划的具体审核,以确定信息系统是否受到保护,控制和组织提供价值。</li> <li>按照IT审计标准,以实现审计目标计划进行审核。</li> <li>报告审计结果和提出建议的关键利益相关者沟通的结果和效果的变化,必要时。</li> <li>进行跟进或准备状态报告,以确保管理层及时采取适当的行动。</li> <li>2域的IT治理和管理 保证必要的领导和组织结构和流程,实现目标,并支持该组织的战略。</li> <li>2域的IT治理和管理 保证必要的领导和组织结构和流程,实现目标,并支持该组织的战略。</li> </ul>
organizational structures and processes are in place to achieve objectives and to support the organization's strategy. Momain 2 Task Statements 勾选你工作中会运用到的领	→ ● ● ● ● ● ● ● ● ● ● ● ● ● ● ● ● ● ● ●
<ul> <li>Evaluate the effectiveness of the H governance structure to ensure adequate board control over the decisions, directions and performance of IT so that it supports the organization's strategies and objectives.</li> <li>Evaluate IT organizational structure and human resources (personnel) management to determine whether they support the organization's strategies and objectives.</li> <li>Evaluate the IT strategy, including the IT direction, and the processes for the strategy's development, approval, implementation and maintenance for alignment with the organization's strategies and objectives.</li> <li>Evaluate the organization's IT policies, standards, and procedures, and the processes for their</li> <li>development, approval, implementation, maintenance, and monitoring, to determine whether they support the IT strategy and comply with regulatory and legal requirements.</li> </ul>	<ul> <li>计估计组织结构和人力负慕(八事)管理,依确定他们是告受持该组织的战略和目标。</li> <li>评估IT战略,包括IT的方向,发展战略,审批,实施和维护与组织的战略和目标相一致的过程。</li> <li>评估组织的IT政策,标准和程序,并为他们的发展过程中,审批,实施,维护,监控,以确定它们是否支持IT战略和遵守法规和法律要求。</li> <li>评估的质量管理体系,以确定它是否支持该组织的战略和目标,在成本效益的方式是否足够。</li> <li>评估IT管理与遵守该组织的政策,标准和程序,监测和控制(例如,连续监测,质量保证)。</li> <li>评估IT管理与遵守该组织的战略和目标相一致的使用和分配的做法,包括优先级标准,。</li> </ul>
<ul> <li>Evaluate the adequacy of the quality management system to determine whether it supports the organization's strategies and objectives in a cost-effective manner.</li> <li>Evaluate IT management and monitoring of controls (e.g., continuous monitoring, QA) for compliance with the organization's policies, standards and procedures.</li> <li>Evaluate IT resource investment, use and allocation practices, including prioritization criteria, for alignment</li> </ul>	<ul> <li>评估的口战略和政策,承包和旨同管理的政法,以确定它们是否支持该组织的战略和目标。</li> <li>评估风险管理的做法,以确定是否组织的IT相关的风险得到妥善管理。</li> <li>评估监测和保证措施,以确定是否董事会和执行管理IT性能得到充分和及时的信息。</li> <li>评估组织的业务连续性计划,以确定该组织的能力,继续在一个IT中断期间的基本业务。</li> </ul>
<ul> <li>with the organization's strategies and objectives.</li> <li>Evaluate IT contracting strategies and policies, and contract management practices to determine whether they support the organization's strategies and objectives.</li> <li>Evaluate risk management practices to determine whether the organization's IT-related risks are properly managed.</li> <li>Evaluate monitoring and assurance practices to determine whether the board and executive management receive sufficient and timely information about IT performance.</li> </ul>	
Provide the organization's business continuity plan to determine the organization's ability to continue essential business operations during the period of an IT disruption.	GooAn

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# 4. CISA工作实践区的说明

Domain 3: Information Systems Acquisition, Development and Implementation - Provide assurance that the practices for the acquisition, development, testing, and implementation of information systems meet the organization's strategies and objectives.

#### **Domain 3 Task Statements**

- Evaluate the business case for proposed investments in information systems acquisition, development. maintenance and subsequent retirement to determine whether it meets business objectives.
- Evaluate the project management practices and controls to determine whether business requirements are achieved in a cost-effective manner while managing risks to the organization.
- Conduct reviews to determine whether a project is progressing in accordance with project plans, is adequately supported by documentation and status reporting is accurate.

Evaluate controls for information systems during the requirements, acquisition, development and testing phases for compliance with the organization's policies, standards, procedures and applicable external

- determine whether project deliverables, controls, and organization's requirements are met.
- Conduct post-implementation reviews of systems to determine whether project deliverables, controls, and organization's requirements are met.

Domain 4: Information Systems Operations, Maintenance and Support - Provide assurance that the processes for information systems operations, maintenance and support meet the organization's strategies and objectives.

#### Domain 4 Task Statements

- Conduct periodic reviews of information systems to determine whether they continue to meet the organization's objectives.
- Evaluate service level management practices to determine whether the level of service from internal and external service providers is defined and managed.
- Evaluate third party management practices to determine whether the levels of controls expected by the organization are being adhered to by the provider.
- Evaluate operations and end-user procedures to determine whether scheduled and non-scheduled processes are managed to completion.

Evaluate the process of information systems maintenance to determine whether they are controlled effectively and continue to support the organization's objectives.

- Evaluate data administration practices to determine the integrity and optimization of databases
- Evaluate the use of capacity and performance monitoring tools and techniques to determine whether IT services meet the organization's objectives.
- Evaluate problem and incident management practices to determine whether incidents, problems or errors are recorded, analyzed and resolved in a timely manner.
- Evaluate change, configuration and release management practices to determine whether scheduled and non-scheduled changes made to the organization's production environment are adequately controlled and
- documented.
- Evaluate the adequacy of backup and restore provisions to determine the availability of information required to resume processing.
- Evaluate the organization's disaster recovery plan to determine whether it enables the recovery of IT processing capabilities in the event of a disaster.

**域3:信息系统采集,开发和实施**出收购的做法,信息系统的开发,测试和实施符合该组织的战略和目标提供像 ùF∘

#### 3域仟条报表

- □ 评估信息系统采集,开发,维护和后续退休,以确定它是否符合业务目标的拟议投资的商业案例。
- □ 评估的项目管理实践和控制,以确定是否风险管理组织的同时,在成本效益的方式实现业务需求。
- □ 进行审查,以确定项目是否按照项目计划的进展,充分支持文档和状态报告是准确的。
- □ 评估信息系统的控制,在遵守该组织的政策,标准,程序和适用的外部需求的要求,收购,开发和测试阶段。
- □ 评估信息系统的实施和迁移到生产准备,以确定是否满足项目交付,控制和组织的要求。
- □ 制度实施后进行审查,以确定项目可交付成果,控制和组织的要求是否得到满足。

#### 4域任务报表

- □ 信息系统进行定期审查,以确定他们是否继续满足该组织的目标。
- □ 评估管理服务水平的做法,以确定是否定义和管理,从内部和外部服务提供商的服务水平。
- □ 评估第三方管理做法,以确定是否遵守该组织控制的预期水平正在提供商。
- □ 评估操作和最终用户的程序,以确定是否定期和不定期的流程管理完成。
- □ 评估信息系统的维护过程,以确定它们是否有效控制,并继续支持该组织的目标。
- □ 评价数据管理的做法,以确定数据库的完整性和优化。
- 使用的容量和性能监控工具和技术评估,以确定是否IT服务满足组织的目标。
- □ 评估,以确定是否被记录的事件,问题或错误,分析和及时解决问题和事件管理的做法。
- □ 评估变更,配置和发布管理的做法,以确定是否定期和不定期组织的生产环境的变化有足够的控制和记录。
- □ 评估充足的备份和恢复的条文,以确定恢复处理所需的信息的可用性。
- 评估组织的灾难恢复计划,以确定它是否能够恢复IT处理能力,在灾难发生时。



# 4. CISA工作实践区的说明

Domain 5: Protection of Information Assets – Provide assurance that the organization's security policies, standards, procedures and controls ensure the confidentiality, integrity and availability of information assets.

### Domain 5 Task Statements

- Evaluate the information security policies, standards and procedures for completeness and alignment with generally accepted practices.
- Evaluate the design, implementation and monitoring of system and logical security controls to verify the confidentiality, integrity and availability of information.

Evaluate the design, implementation, and monitoring of the data classification processes and procedures

- for alignment with before the second sec
- Evaluate the design, implementation and monitoring of physical access and environmental controls to determine whether information assets are adequately safeguarded.

Evaluate the processes and procedures used to store, retrieve, transport and dispose of information

assets (e.g., backup media, offsite storage, hard copy/print data, and softcopy media) to determine whether information assets are adequately safeguarded.

### **域5:保护信息资产**-保证组织的安全政策,标准,程序和控制,确保信息资产的保密性,完整性和可用性。

### 5域任务报表

- 🛛 评估的信息安全政策,标准和程序的完整性和普遍接受的做法保持一致。
- 🗉 评估,设计,实施和监测系统和逻辑安全控制,以核实信息的保密性,完整性和可用性。
- P 评估的设计,实施和监测的数据进行分类的过程和程序,与该组织的政策,标准,程序,适用的外部需求保持 一致。
- ] 评估,设计,实施和监控物理访问和环境的控制,以确定是否信息资产得到充分的保障。
- ] 评估的过程和程序,用于存储,检索,传输和处理信息资产(例如,备份介质,异地存储,硬拷贝打印数据和 | 下载版媒体),以确定是否信息资产得到充分的保障。





# 5.插入额外需核查的工作经验

### 如果需要验证的工作经验不止一家公司的话,就要插入额外需核查的工作经验

入名前夕ト

organization's strategies and objectives.

#### **Domain 3 Task Statements**

- Evaluate the business case for proposed investments in information systems acquisition, development, maintenance and subsequent retirement to determine whether it meets business objectives.
- Evaluate the project management practices and controls to determine whether business requirements are achieved in a cost-effective manner while managing risks to the organization.

confidentiality, integrity and availability of information.

Evaluate the design, implementation, and monitoring of the data classification processes and procedures

for alignment with the organization's policies, standards, procedures, and applicable external requirements.

Evaluate the design, implementation and monitoring of physical access and environmental controls to determine whether information assets are adequately safeguarded.

- Evaluate the processes and procedures used to store, retrieve, transport and dispose of information
- assets (e.g., backup media, offsite storage, hard copy/print data, and softcopy media) to determine whether information assets are adequately spleguarded.

Insert Additional Verification of Work Experience

Clicking the Print button below does not submit your information.

Please sign the completed application and either email, fax or postal mail your application to:

红沙

ISACA 3701 N. Algonquin Rd.

Print CISA Application



○ 6. 由语证书扫描	出件实例
Applicant Information VI States have a former have	Last Tarrity Examile 117
A - BOBL Digital Tower, No. 2, South Street Designs Beijing Beijing Beijing Beijing Beijing Beijing A - 806 Digital Tower, No. 2, South Street Consultant A - 806 Digital Tower, No. 2, South Street Beijing Be	State     Province       Beijing     Province       Boost     Beijing       Province     Province       Beijing GooAnn Technology Co., Ltd.       Beijing       Province       Beijing       Province       Beijing       Province       Beijing       Beijin
HealLi Thorsby apply to ISACA for issuance to me of Certification	CEO , as a Certified information Systems Auditor (CISA) in accordance

I hereby apply to ISACA for issuance to me of Certification, as a Certified information Systems Auditor (CISA) in accordance with and subject to the procedures and regulations of ISACA. I have read and agree to the conditions set forth in the CISA Application for Certification and Continuing Education Policy in effect at the time of my application, covering the Certification process; and Continuing Education policies. I agree to denial of Certification and to forfeiture and redelivery of any certificate or other credential granted me by ISACA in the event that any of the statements or answers made by me in this application are faile or in the event that I violate any of the rules or regulations governing such exam. I understand that all certificates are owned by ISACA and if my certificate is granted and then revoked, I will destroy the certificate.

Lasthorize ISACA to make wherever inquines and investigations it deems necessary to verify my credentials and my professional standing. If you become a Certified information Systems Auditor, your certification status will become public, and may be disclosed by ISACA to third parties who inquire. If my application is not approved, I understand that I am able to appeal the decision by contacting <u>certification/Divectory</u> and the systems and may also be used by ISACA to disclose your certification status. The contact information will be used to fulfill your request, and may also be used by ISACA to disclose your certification status. The contact information will be used to fulfill your request, and may also be used by ISACA to send you information about related ISACA goods and services, and other information in which we believe you may be interested. By signing below, you authorize ISACA to contact you authorize ISACA to contact that the information in which we believe you may be interested. By signing below, you authorize ISACA to contact you af the address and number you have provided is yours and is accurate. To learn more about how we use the information you how provided is yours and is accurate. To learn more about how we use the information you have provided is your and is accurate. To learn more about how we use the information you have provided is your accurate ISACA programs or services, information you submit may also be used as described to you at that time.

I hereby agree to hold ISACA, its officers, directors, examiners, employees, and agents, harmless from any complaint, claim, or damage ansing out of any action or omission by any of them in connection with this application; the application process; the failure to issue me any certificate; or any demand for forteiture or redelivery of such certificate.

understand that the decision as to whether I qualify for certification rests solely and exclusively with ISACA and that the decision of ISACA is final. I have read and understand these statements and I intend to be legally bound by them.



Date: 10-Feb-2012

Page 1

Examiter 1

#### Work Experience Detail

A. Information Systems Audit, Control or Security Experience -List your most recent experience first & candidate must have a minimum of two years of 15 audit, control or security experience. Two years of experience is considered 4 000 actual hours, with the exception for full time instructors (see 8. Experience Substitution below).

	PUS AUDI	di serigi Contro	our loss, sty	Electric enigne	herica
Employer Hama	07/06	-	02/12	5	- S
Engloyer Name		-			
Crossoper Name		-			
Total number of years IS auditing, control or security experience injund down to whole	veen		Total		

B. Experience Substitution --- A maximum of 1 year IS auditing, control or security experience may be substituted with either one full year of auditing experience --- or one full year of information systems experience

Company	Dates o	of Winegals	ioy ment	Trope of	Muniture of Vance
University Name	RADE/Y'Y'Y	100	MARYY	Experience	of Substitution
				Non-IS Audit	
				Information Systems	
				University instructor*	
and a second					

"There is no maximum limitation for university instructor experience. However, two full years of university instructor experien a related field is required for each one year of IS auditing, control or security experience substitution.

C. Educational Experience Waiver-If you are applying for any experience waivers, please check the appropriate box. To confirm your degree status, please include with your application an original or copy of a transcript or letter from your college or university. Please provide your name as listed on the transcript.

NAMES AND TAXABLE PARTY.

- Two years experience waiver for a four-year university degree. Masters Degree, or PhD
- One year experience weiver for a two-year university degree
- Equivalent educational experience to the above, listed here and official verification provided. (Isth
- One year experience waiver for a university degree that enforces the ISACA sponsored Model Curricula. (Cannot be used # three years substitution or waiver have been claimed.)

#### **D. Summary of Experience Requirements**

- Total number of years of information systems audit, control or security experience anter the total from Section A above (minimum of 2 years)
- If applying for an experience substitution, enter number of years being substituted in the box and complete Section B above (maximum of 1 year).
- If applying for an experience waiver, enter 1, 2 or 3 in the box as appropriate and complete Section C above 3.
  - Total Work Experience—add boxes 1, 2 and 3(boxes 2 and 3 cannot exceed 3 years) (must total five years or more to apply for CISA certification)

#### E. Individuals Verifying Work Experience Details-Please record here the names and contact information of the individual(s) that will verify your work experience in sections A and B above

1. Yumn	15 auditor	GooAnn
Company Telephone Number 861051626974 Verifier's Nome	Company Email Address hanyu@gooann.com Job Title	Company Name
Company Telephone Number	Company Email Address	
A Vanilar's Marrie	Judo Titler	Company Name
Constants Telephone Muchael	Company Email Address	



Applicant Name: Yi Zheng

Contraction of the local division of the loc

#### Verification of Work Experience

I. Yi Zhong

am applying for certification through

Exam ID:

ISACA as a Centred Information Systems Auditor. My work experience must be independently verified by my current and/or previous employer(s). The individual verifying the work experience must be an independent verifier and not of any relation to the applicant nor can the verifier verify his/her own work. If I currently or once worked as an independent consultant, I can use a knowledgeable client or an individual certified as a CISA or CISM to perform this role.

plication for CISA Cortification

I would appreciate your cooperation in completing this form, by verifying my IS auditing, control or security work experience as noted on my application for my submission to ISACA. If you have any questions concerning this form, please direct them to complete form to me for my submission to ISACA. If you have any questions concerning this form, please direct them to concerning the concerning this form, please direct them to concerning the conc

== Date 2012/2/19 Applicant's Signature

#### Employer's Verification Information

02 25	A Trite	B	eijing GooAnn Technology Co.,	Ltd.			
A	-806 Digital Tower No.2. South Street	St. B	ate / Province elijing				
SI ZI	hongGuanCun,Haidian District,Beijing	Pc 10	stabZip Code				
0.8	eijing	Co	untry hina				
8	S1051626974	Co	mpany Email Address		冬相	羊心	司题
240	mie of company relating to candidate's employment from	page 2 Beijing	GooAnn Technology Co., Ltd.	_			
PA	ase answer the six questions below, and then the following statements are to be confirmed by the V	sign and date w	there indicated on the printed for g the application)	rm.			
٦.	Have you functioned in a supervisory position work experience?	n to the applicar	nt such that you can verify his/h	DF.	Yes	CNO	C N/A
2.	How long have you known the applicant?	5					
3.	Is the categorization and duration of the appli listed on the application for certification form	icant's work exp	erience, for your organization, to best of your knowledge?	s	Yes	CNO	C N/A
4.	Are you qualified and willing to verify the app with your company/organization?	licant's work ex	perience prior to his/her affiliat	on	Yes	No	NA
5.	Are you qualified and willing to verify the app	licant's education	onal experience waiver(s) claim	d7	C.	C	C N/A
6.	Is there any reason you believe this applicant auditor	t should not be	certified as an information syste	ms	Yes	NO	
		<b>八位石</b>	2012/2/10				

Page 3



#### Description of CISA Job Practice Areas

Please check the boxes that indicate the tasks performed by applicant. Upon review, please sign and date the bottom of In guarge

Domain 1: The Process of Auditing Information Systems - PTOVICE audit services in accordance with IT audit standards to assist the organization with protecting and controlling information is weberries.

#### Ocernain 1 Task Statemerts

- Develop and implement a risk based IT audit strategy in compliance with (7 autilit standards to ensure that key areas are included
- That specific audits to determine whether information subterms are protected, ponelored and provide value to the organization. Consult such a solution with IT such shares
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- Public Design Subdiel by reamachemisters in a far

Domain 2. Governance and Managament of CT - Provide assurance that the nationally loadening and organizational structures and processes are in place to achieve councils es and to support the organization's shalleds

#### Clonupry 2 Taul: Shakemarite.

- Evaluate the effectiveness of the IT governance structure to enaute school and hourd portion more the decouples, developers and performance of If so that it supports the organization's situateges and objectives.
- Evialuate IT organizational anuchine and human resolution (personnel) management to determine whether they support the organization's or progress and pleased uses.
- Evaluate the IT strategy, including the IT direction, and the processes for the strategy's development, approval, implementation and maintenance for singnessers wan the organization's share-peo and objectives.
- Evaluate the organization a IT policies, standards, and procedures, and the processes for their development, approval, regeneration, managementation unit minimum, to determine whether they support the if strategy and comply with regulatory and legal requirements.
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- Evaluate IT management and monitoring of commits in g. continuous representing GA) for compliance with the organization's policies, standards wal procedures.
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- Evaluate IT contracting strateges and policies, and contract management presidents to determine all other they augpoint the organization's strategies.
- E-aluste risk management practices to determine whether the property and an a standard when are properly managed.
- Evaluate monitoring and assurance practices to determine whether the courd and executive management receive sufficient and crowly interventees advect of partnersuperce.
- Contractor the regardent of the transmission continuity place to children over the organization's obvity to continue essential business rate share during the partial of an IT damagage.

#### Domain 2. Information Byslerie Acquisition, Development and implementation - Provide assurance that the practices for the acquisitory coveragement, testing, and imprementation of otherwattor systems must me

#### Occupies 3 Tank Statements

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- Conduct revews to determine another a project is progressing in according on with pright many, is administerly to provide by descars of st status reporting is accurate.
- Evaluate controls for information sumaris during the requirements acquisition, development and learning phases for compliance with the organization's policies, standards, procedures and applicable external THE R. LEWIS CO., LANSING.
- Evaluate the readiness of information systems for explementation and requiring on the production to detain on whether propert debugstion. controls, and organization's repursenants are man
  - Conduct post implementation on, which of authority to delearning adjuster project denominations, controls, and organization's requirements are met.

famance and support must the organization's strategies and dijactives

#### Chornairi a Tank Statements

- Conduct periodic reviews of information audients to determine whether they continue to meet the organization a objectives.
- Evaluate service level management practices to determine whether the leaved of metoical trans standard and solarital service providers is defined and managed
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- organization's production environment are adequately controlled and And other Designation of the local division of the local divisiono
- Evaluate the adequacy of backup and reasons processes to determine the availability of colors abor rais and to reasons processing.
- Evaluate the organization's disaster recovery plan to determine whether it solutions the recovery of IT processing capabilities in the source of a of the local division of

Descents 5. Protection of Information Asserts . Protects some from the imparticularly a security policies, stariotards, proceedings and corrects where the confidentiality straighty and availability of externation passes.

#### Domain 5 Task Statements

- Contrasts the others adopt secondly pullines, warding its and proceeds completeness and alignment with personally accepted processes.
- Evaluate the design, implementation and manifesting of system and impose menuity controls to early the confidentially, sharping and eveloping of other states

Evaluate the design, implementation, and monitoring of the classification processes and procedures for algorithms with the

- Argumentation's publicate standards, proceedings, and applicable astar-of NAMES AND ADDRESS OF
- and environmental controls to determine whether commution second are indexpusition or a factor of the other
- Explosis the processes and procedures used to store, restered, transport and impose of othernation seasons in g. Second modes office storage frank incordences done, and achievery marked to determine whether externation assess are adequately safegurated.





# 认证申请表填写 二、在线缴费 三、提交申请及申请进度 四、CISA继续职业教育 (CPE) 政策



步				
www.isaca.c	org/cisapav	/ 讲入购买页面	ī,点击 🖬	id to cart 2 杏丢购物
Online Store   Prode	uct Details			<b>2.</b> 亘有两切 车
All prices are listed in U	US Dollars			
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# 第二步 确认购物信息

Globa	I shoppin	ig cart									եսենու լուն էլ		
Bill To A	ddress			Ship To Add	dress	Edit Ship	oping Ad	dress		3.修改则	的地址		
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# 第三步 输入信用卡号进行支付

Payment Details	
Payment Method	Credit Card Debit Card (with VISA or MasterCard logo)
Card Type *	VISA -
Card Number Note: Do not use spaces, non- numeric characters, or dashes.	
Expiration Date (Month, Year) *	1 - 2012 -
Name on card *	
Credit Card Security Code (CVV) *	•••••
Payment Amount (US Dollars)	\$50.00*
* Please note: Upon clicking the "Su If you do not wish to submit payment Cart. Cancelling the payment will no I understand that information I subm Terms of Use and any additional pur	ibmit Payment" button, your credit card will be charged the amount shown above, at this time, you may press Cancel to cancel the payment an t remove the items from your cart. it will be used as described in the Privacy Policy and that my purchations is subject to the chase rules and guidelines in this site.





# 一、认证申请表填写 二、在线缴费 三、提交申请及申请进度 四、CISA继续职业教育 (CPE) 政策





# 1.向certification@isaca.org发送邮件

收件人: certification@isaca.org;

附件: 2个 (min 1-2.JPG 等...) 查看全部附件

📨 发送状态:发送成功[查看详情]

Dear certification:

I am very honored to have passed the CISA exam, now apply for CISA certification.

Attachment is the application information

Thank you

 为了有效处理您的申请表,请收集所有证明文件(工作经验证实表与任何适用的大学成绩单 或证书),然后同您完成的 CISA 认证申请表一并邮寄给:

- 认证协调者
- ISACA
- 3701 Algonquin Road, Suite 1010
- Rolling Meadows, IL 60008-3124 USA
- 电子邮件: certification@isaca.org cisaapplication@isaca.org
- 电话号码: +1.847.660.5660 传真号码:
- +1.847.253.1443





## 2.申请进度

注意:请允许我们利用大概八个星期的时间来处理您完成的"CISA认证申请表"。审核通过后,您将通过电子邮件收到证书套件,包括认证通知书、您的 CISA 证书以及"CISA 持续教育政策"。

证书申请状态大致时间点:

2015.01.23 提交CISA证书申请

- 201.01.26 收到ISACA Certification Assistant (认证助理)的确认信, 表示收到申请【一周 左右】
- 2015.02.02 收到ISACA Certification Department (认证部)的确认信,表示收到申请【二周左右】

2015.03.15 收到ISACA的邮件表示通过认证【八周左右】

2015.4-6月 ISACA寄来的纸质证书和徽章~~~ 【十二周左右】

关于ISACA 官网上的证书申请状态变化:

2015.01.26 - 03.15 Application Status: Unreviewed

2015.03.16 Application Status: Complete-Under Review

2015.03.17 - 04.11 Application Status: Approved



# 3.CISA证书-实例







# 一、认证申请表填写 二、在线缴费 三、提交申请及申请进度 四、CISA继续职业教育 (CPE) 政策





1.CISA继续职业教育 (CPE) 政策总体要求

CISA通过后申请到了证书要进行持续再教育,获得学分,简称CPE,ISACA官方的 规定三年需要120个学分每年至少20个学分,才能维持证书,如果不能完成学习。 那么证书只有三年有效期,三年后学分不足就要重考,只有维持下去才是有效的! 第一年不需要

- CISA CPE 政策要求在年度和三年认证期都达到 CPE 所要求的学时。CISA 必须符合如下 要求才能保持其资格:
- 1. 每年最低应达到并报告 20 个 CPE 学时。
- 2. 向 ISACA (国际信息系统审计协会)国际总部全额交付 CPE 维护年费【会员\$ 40,非 会员\$ 85,会员费\$ 135】。
- 3. 在三年报告期间最低应达到并报告 120 个 CPE 学时。
- 4. 被选中参加年度审查时,必须响应并提交参加 CPE 活动的所需文件记录。
- 5. 遵守 ISACA 的职业道德规范。





# 2.CPE学分如何获取

- 1. ISACA 职业教育活动和会议(无限制)
- 2. 非 ISACA 职业教育活动和会议(无限制)
- 3. 自学课程 (无限制)
- 4. 经销商的产品推销/营销展示(每年限10小时)
- 5. 教学/演讲/展示(无限制)
- 6. 发表文章、专题著作和书籍(无限制)
- 7. 试题编写和审核(无限制)
- 8. 通过相关的职业考试(无限制)
- 9. ISACA 理事会/委员会工作(每项 ISACA 认证限每年 20 小时)
- 10.对信息系统审计和控制专业的贡献(所有相关活动合计报告的 CISA 学时限每年20 个小时)
- 11.指导他人获得认证 (每年限 10 小时)

《CISA继续职业教育 (CPE) 政策》简体中文版下载: http://www.isaca.org/Certification/CISA-Certified-Information-Systems-Auditor/Documents/CISA-CPE-ChinSimp.pdf





## 3.CPE填报方法

以下以ISACA的会员为例:

1、登陆ISACA网站; http://www.isaca.org 在下图右下角输入相应的"用户名"和"密码"







### 2、进入"MY ISACA"》"MY PROFILE"

Trust in, and value	e from, information systems	ISACA	My ISACA	
myPROFILE	myMEMBERSHIP	myCERTIFICAT	IONS myDO	DCUMENTS myPURCHASE
	Site Content	~		SEARCH
ISACA > My ISAC	CA > My Profile			
ISACA > My ISAC	ile			
ISACA > My ISAC My Prof	ile Mr. J <del>unjie Qiu, 010.</del>	-		
My Prof	ile Mr. J <del>anjie Qiw, 919.</del> China Hong Kong Chapter	<b>←</b> Onlin	e Name: Junjie035	
My Prof	A > My Profile ile Mr. J <del>anjie Qia, Ole</del> China Hong Kong Chapter Company: China Unicom, Zhe Branch	Onlin ejiang Mem	e Name: Junjie035 ber Since: 13 July 2	2009

3、点击上图红圈内的菜单项:"ACCOUNT-CERTIFICATION CPE-DEMOGRAPHIC INFO"









### 4、把页面拉到最下面,看到下图:

CISA		
Cycle Year 1	2011	
Year 1 CPEs Needed	20.00	
Cycle Year 2	2012	
Year 2 CPEs Needed	20.00	
Cycle Year 3	2013	
Year 3 CPEs Needed	20.00	$\bigcap$
		Edit

### 5、然后点击红圈中按纽"EDIT",会显示下图的页面:

EDIT this information by clicking the EDIT button at the bottom of the page.
The pre-populated information below is what ISACA currently has on file which may have been collected prior to
your coming to this new ISACA web site. This information, and any additional information you provide, will be used as described in our Privacy Policy.
My Contact Information My Demographic, Certification CPE and Other Information
Contact Information



3.CPE填报方法

### 7、进入后,把页面拉到最后,显示下图:

Cycle Begin Date	1/1/2011	
Cycle End Date	12/31/2013	
Cycle Year 1	2011	
Year 1 CPEs Required	20	
Year 1 CPEs Reported	0	
Year 1 CPEs Needed	20	
Cycle Year 2	2012	
ear 2 CPEs Required	20	
rear 2 CPEs Reported	0	
Year 2 CPEs Needed	20	
Cycle Year 3	2013	
'ear 3 CPEs Required	20	
ear 3 CPEs Reported	0	
'ear 3 CPEs Needed	20	
Cycle Hours Required	120	
Cycle Hours Reported	0	
Cycle Hours Needed	120	

8、分别在红框中填入本年的CPE值,确定后,点击右下角的红圈中的"SAVE"按纽,就OK ! 建议到12月再进行填写,当然如果截止当前已满足最低CPE要求,可以直接填报。以后每年均按上 述方法处理。

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